



Attn: Docket No. 384848001US
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First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, on:

Date: 11/15/02

By: 
Douglas A. Gow

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Jack Zezhong Peng

APPLICATION No.: 09/955,641

FILED: 09/18/2001

FOR: SEMICONDUCTOR MEMORY CELL AND
MEMORY ARRAY USING A BREAKDOWN
PHENOMENA IN AN ULTRA-THIN DIELECTRIC

EXAMINER:

ART UNIT: 2818

CONF. NO: 1777

TC 2800 MAIL ROOM
NOV 20 2002

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Supplemental Information Disclosure Statement Within Three Months
of
Application Filing or Before First Action – 37 CFR 1.97(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

1. Timing of Submission

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 CFR 1.97(b)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

Copies of the following references are enclosed:

- All cited references
- References marked by asterisks
- The following:

Copies of the following references can be found in parent U.S. Application No. :

- All cited references
- References marked by asterisks
- The following:

The following references are not in English. For each such reference, the undersigned has enclosed (i) a translation of the reference; (ii) a copy of a communication from a foreign patent office or International Searching Authority citing the reference, (iii) a copy of a reference which appears to be an English-language counterpart, or (iv) an English-language abstract for the reference prepared by a third party. Applicant has not verified that the translation, English-language counterpart or third-party abstract is an accurate representation of the teachings of the non-English reference, though, and reserves the right to demonstrate otherwise.

- All cited references
- References marked by ampersands
- The following:

3. Effect of Information Disclosure Statement (37 CFR 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment

No fees are believed due. However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

5. Patent Term Adjustment (37 CFR 1.704(d))

The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d).



Date: November 15, 2002

Attorney Docket No. 384848001US

Respectfully submitted,
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				COMPLETE IF KNOWN	
NOV 18 2002 Form PTO-1449 (Modified) (Use several sheets if necessary)				Application Number	09/555,641
				Confirmation Number	1777
				Filing Date	09/18/2001
				First Named Inventor	Jack Zezhong Peng
				Group Art Unit	2818
				Examiner Name	
Sheet	1	of	1	Attorney Docket No.	384848001US

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS							
Examiner Initial	Cite No.	Foreign Patent or Application			Name of Patentee or Applicant of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Figures Appear
		Office	NUMBER	Kind Code (if known)			

OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS

EXAMINER'S INITIALS		EXAMINER'S CITATION	TYPE
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.	T

*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not

***EXAMINER:** Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).